

**CUSTOMER CLAIM**

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008**

(Please print or type)

**Name of Customer:** Diane Sloves as Tstee Under Rev Tst Agreement Dtd 10/13/00 for the Benefit of  
D Sloves

**Mailing Address:** 0800 Cluny Road

**City:** Aspen

**State:** CO

**Zip:** 81611

**Account No:** 1SO274

**Taxpayer I.D. Number (Social Security No.):** -Redacted

**NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.**

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1. Claim for money balances as of **December 11, 2008:**

a. The Broker owes me a Credit (Cr.) Balance of \$ 0

b. I owe the Broker a Debit (Dr.) Balance of \$ 0

c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, it must be enclosed  
with this claim form. \$ N/A

d. If balance is zero, insert "None." None

2. Claim for securities as of **December 11, 2008:**

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

	YES	NO
a. The Broker owes me securities	<u>✓</u>	<u>          </u>
b. I owe the Broker securities	<u>          </u>	<u>✓</u>
c. If yes to either, please list below:		

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds	
		The Broker Owes Me (Long)	I Owe the Broker (Short)

See Nov. 30, 2008 ISO274 statements, attached hereto as Exhibit A.

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\$ 1,583,150.32 (market value of securities long, per ISO274-3 statement)

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\$ 54,450.00 (market value of securities long, per ISO274-4 statement)

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(\$ 76,890.00) (market value of securities short, per ISO274-4 statement)

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TOTAL: \$ 1,560,710.32

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**Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.**

See Exhibits A and B, and documents submitted herewith.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

	<u>YES</u>	<u>NO</u>
3. Has there been any change in your account since December 11, 2008? If so, please explain.	_____	_____✓
4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	_____	_____✓
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	_____	_____✓
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s).	_____	_____✓
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	_____	_____✓
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	_____	_____✓
9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.	_____	_____✓

Please list the full name and address of anyone assisting you in the preparation of this claim form: Matthew A. Kupillas, Esq., Milberg LLP, One Pennsylvania Plaza, New York, NY 10119

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.**

Date 5/20/09 Signature   
Diane Sloves

Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly,  
together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

# **EXHIBIT A**



**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

New York, NY 10022  
(212) 230-2424  
800 334-1343  
Box (212) 838-4061  
SLOVES AS TRUSTEE UNDER

12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

\*\*DUPLICATE\*\* FOR ACCOUNT  
PRESTON FOX  
GEIB & FOX

106 S MILL STREET SUITE 202  
CO 81611  
ASPEN

PERIOD ENDING  
11/30/08

PAGE  
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YOUR ACCOUNT NUMBER  
1-S0274-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*5584

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	1,386			BALANCE FORWARD		95,489.51	
11/12	1,990		385	WELLS FARGO & CO NEW	29.800	41,357.80	
11/12	858		887	HEWLETT PACKARD CO	34.980	34,590.00	
11/12	561		4711	WAL-MART STORES INC	55.830	47,936.14	
11/12	2,079		5213	INTERNATIONAL BUSINESS MACHS	87.270	48,980.47	
11/12	2,277		9037	EXXON MOBIL CORP	72.880	151,600.52	
11/12	1,089		9539	INTEL CORP	14.510	33,130.27	
11/12	1,485		13865	JOHNSON & JOHNSON	59.580	64,925.62	
11/12	1,792		18190	J.P. MORGAN CHASE & CO	38.530	57,276.05	
11/12	462		22516	CODIA COLA CO	24.660	35,401.72	
11/12	858		26842	MCDONALDS CORP	55.370	25,598.94	
11/12	3,135		31168	MERCK & CO	28.550	24,529.90	
11/12	1,584		35494	MICROSOFT CORP	21.810	68,499.35	
11/12	627		39820	ORACLE CORPORATION	17.300	27,456.20	
11/12	363		52798	PEPSICO INC	56.410	35,394.07	
11/12	2,673		53300	APPLE INC	100.780	36,597.14	
11/12	1,188		57124	PFIZER INC	16.940	45,386.62	
11/12	429		57626	ABBOTT LABORATORIES	54.810	34,265.47	
11/12	825		61952	PROCTER & GAMBLE CO	64.080	76,174.04	
11/12	1,980		65776	AMGEN INC	59.160	25,396.64	
11/12	660		66278	PHILLIP MORRIS INTERNATIONAL	43.600	36,003.00	
11/12	2,145		70102	BANK OF AMERICA	21.590	42,827.20	
11/12			70604	QUALCOMM INC	33.770	22,314.20	
11/12				CITI GROUP INC	12.510	26,918.95	
				CONTINUED ON PAGE 2			



BERNARD L. MADOFF  
INVESTMENT SECURITIES LLC  
New York ☐ London

New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 888-4061  
DIANE SLOVES AS STEE UNDER

Mayfair, London W1J 8DT  
Tel 020 7493 0222

\*\*DUPLICATE\*\* FOR ACCOUNT  
PRESTON FOX  
GEIB & FOX

106 S MILL STREET SUITE 202  
CD 81611  
ASPEN

PERIOD ENDING  
11/30/08

YOUR ACCOUNT NUMBER  
1-S0274-3-D

YOUR TAX-PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*5584

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08-0170

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	495		74428	SCHLUMBERGER LTD	49.480	24,511.60	
11/12	1,188		74930	COMCAST CORP	16.510	19,660.88	
11/12	2,343		78754	CL A	27	63,354.00	
11/12	594		79256	CONOCOPHILIPS	52.510	31,213.94	
11/12	396		83080	UNITED PARCEL SVC INC	52.040	20,622.84	
11/12	2,409		83582	GLASS B	16.730	40,398.57	
11/12	693		87406	CISCO SYSTEMS INC	29.530	20,491.29	
11/12	825		87908	U S BANCORP	73.430	60,612.75	
11/12	396		91732	CHEVRON CORP	53.160	21,066.36	
11/12	4,191		92234	UNITED TECHNOLOGIES CORP	19.630	82,426.33	
11/12	1,122		96058	GENERAL ELECTRIC CO	30.410	34,164.02	
11/12	99		96560	VERIZON COMMUNICATIONS	337.400	33,405.60	
11/12		1,475,000	22994	GOOGLE	99.936		
11/12				U S TREASURY BILL			
11/12				DUE 2/12/2009			
11/12				2/12/2009			
11/12				FIDELITY SPARTAN	DIV		
11/12				U S TREASURY MONEY MARKET			
11/12				DIV 11/12/08	1		
11/12		57,346	18095	FIDELITY SPARTAN			
11/12				U S TREASURY MONEY MARKET			
11/12	30,298		27463	FIDELITY SPARTAN	1		
11/12				U S TREASURY MONEY MARKET			
11/12				FIDELITY SPARTAN			
11/12				U S TREASURY MONEY MARKET			
11/12				DIV 11/19/08			
11/19				CONTINUED ON PAGE 3			

1,474,000

57,346



**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061  
FOR ACCOUNT DIANE SLOVES AS STEEL UNDER

Mayfair, London W1J 8DT  
Tel 020 7493 6222

\*\*DUPLICATE\*\*  
PRESTON FOX  
GEIB & FOX

106 S MILL STREET SUITE 202  
CO 81611  
ASPEN

PERIOD ENDING  
11/30/08  
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YOUR ACCOUNT NUMBER  
1-S0274-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*5584

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19		30,298	52944	FIDELITY SPARTAN	1		30,298.00
11/19	125,000		57478	U S TREASURY MONEY MARKET	99.925	124,907.50	
11/19	4,263		61982	U S TREASURY BOND DUE 03/26/2009 3/26/2009	1	4,263.00	
				FIDELITY SPARTAN			
				U S TREASURY MONEY MARKET			
				NEW BALANCE		187,691.96	
				SECURITY POSITIONS	MARK PRICE		
	2,343			AT&T INC	28.560		
	627			ABBOTT LABORATORIES	52.390		
	429			AMGEN INC	55.540		
	363			APPLE INC	92.670		
	1,980			BANK OF AMERICA	16.250		
	825			CHEVRON CORP	79.010		
	2,409			CISCO SYSTEMS INC	16.540		
	2,145			CITIC GROUP INC	8.290		
	792			COCA COLA CO	46.870		
	1,188			COMCAST CORP	17.340		
				CL A			
	594			CONOCOPHILIPS	52.520		
	2,079			EXXON MOBIL CORP	80.150		
	4,191			GENERAL ELECTRIC CO	17.170		
				CONTINUED ON PAGE 4			



Exhibit B -

YOUR ACCOUNT NUMBER  
1-50274-3-0

Mayfair, London W1J 8DT  
Tel 020 7493 6222

New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

BERNARD L. MADOFF  
INVESTMENT SECURITIES LLC  
New York □ London

\*\*DUPLICATE\*\* FOR ACCOUNT DIANE SLOVES AS  
PRESTON FOX  
GEIB & FOX

106 S MILL STREET SUITE 202  
CO 81611  
ASPEN

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PERIOD ENDING  
11/30/08

YOUR TAX PAYER IDENTIFICATION NUMBER  
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YOUR ACCOUNT NUMBER  
1-S0274-3-0

DATE	BOUGHT RECEIVED	QUANTITY DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	1,122			VERIZON COMMUNICATIONS	32.650		
	858			WAL-MART STORES INC	55.880		
	1,386			WELLS FARGO & CO NEW	28.890		
				MARKET VALUE OF SECURITIES			
				LONG			
				17,583,150.32			
				SHORT			

08-01780-cgm Doc 2409-2 Filed 06/11/10 Entered 06/11/10 15:52:05 Exhibit B -  
Customer Claim Pg 11 of 32

BERNARD L. MADOFF  
INVESTMENT SECURITIES LLC  
New York ☐ London  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
FAX (212) 838-4061  
\*\*DUPLICATE\*\* FOR ACCOUNT DIANE SLOVES AS TRUSTEE UNDER PRESTON FOX GEIB & FOX

106 S MILL STREET SUITE 202  
ASPEN CO 81611

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				YEAR-TO-DATE SUMMARY			
				DIVIDENDS			11,589.41
				GROSS PROCEEDS FROM SALES			9,757,517.31

11,589.41  
9,757,517.31

Customer Claim Pg 11 of 32



BERNARD L. MADOFF  
INVESTMENT SECURITIES LLC  
New York ☐ London

New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

\*\*DUPLICATE\*\* FOR ACCOUNT DIANE SLOVES AS  
PRESTON FOX  
GEIB & FOX

106 S MILL STREET SUITE 202  
ASPEN CO 81611

Mayfair, London W1J 8DT  
Tel 020 7493 6222

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11/30/08

YOUR ACCOUNT NUMBER  
1-S0274-4-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*5584

08-0178 Doc 409-2 Filed 06/11/10 Entered 06/11/10 15:52:05 Exhibit B -  
Customer Claim Pg 12 of 32

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12				BALANCE FORWARD			95,498.00
11/12	33		44146	S & P 100 INDEX NOVEMBER 460 CALL	15.300		52,107.00
11/12	33		48472	S & P 100 INDEX NOVEMBER 450 PUT	17.800	58,773.00	
11/19			34576	S & P 100 INDEX DECEMBER 430 CALL	26		85,767.00
11/19	33		38901	S & P 100 INDEX DECEMBER 420 PUT	30	99,033.00	
11/19	33		43226	S & P 100 INDEX NOVEMBER 460 CALL	3	9,933.00	
11/19			33	S & P 100 INDEX NOVEMBER 450 PUT	37		122,867.00
				NEW BALANCE			187,890.00
				SECURITY POSITIONS			
	33			S & P 100 INDEX DECEMBER 430 CALL	MKT PRICE 23.300		
				S & P 100 INDEX DECEMBER 420 PUT	16.500		
				MARKET VALUE OF SECURITIES			
				LONG			
				SHORT			
				54,450.00			
				76,890.00-			

# **EXHIBIT B**

**EXHIBIT B**

1. This Claim Form, exhibits, and supporting documentation (collectively "Claim Form") is submitted pursuant to the December 23, 2008 Order of the Honorable Burton R. Lifland and the instructions disseminated by Irving H. Picard, Trustee for Bernard L. Madoff Investment Securities LLC ("Trustee"), on December 11, 2008.
2. The information provided in the Claim Form is based on the information provided in the Claimant's latest Madoff account statement and any additional information known by the Claimant as of the date of the submission of the Claim Form. The Claimant reserves the right to amend and/or supplement this Claim Form upon the receipt of further information, or upon request by the Trustee for additional information.
3. The Claimant reserves the right to amend the Claim Form in the event of any recoveries by the Trustee or any other party under the avoidance powers of the Bankruptcy Code or otherwise, or in the event of rejections of executory contracts pursuant to Bankruptcy Code Section 365, whether such amendments are made pursuant to Bankruptcy Code Sections 105, 502(g), or 502(h), Bankruptcy Rule 3002(c)(3), (4), other provisions of applicable bankruptcy law, or general principles of law and equity.
4. The Claimant hereby requests that the Claim Form be considered as a proof of claim in *In re Bernard L. Madoff Investment Securities LLC*, No. 08-01789 (Bankr. S.D.N.Y.).
5. This Claim Form is required to be submitted pursuant to the Court's January 2, 2009 Order and the Trustee's instructions to the Claimant. To the extent permitted by the applicable law, the Claimant does not consent to the jurisdiction of the Bankruptcy Court nor does Claimant waive any right to trial by jury.
6. The Claimant reserves all rights, claims, and/or defenses as to and/or against any and all parties potentially liable for the losses sustained by the Claimant, including, without limitation, Bernard L. Madoff Investment Securities LLC and its owners, partners, employees, and affiliates, as well as any potentially liable third parties including, without limitation, investment advisors, "feeder funds," accountants, and auditors.
7. The Claimant further reserves all rights, claims, and/or defenses as to and/or against any persons and/or creditors asserting claims against Bernard L. Madoff Investment Securities LLC, its employees, owners, and/or affiliates, in bankruptcy or otherwise.
8. The Claimant reserves all objections as to the competence, relevance, materiality, privilege, or admissibility of evidence in any subsequent proceeding or trial of this or any other action for any purpose whatsoever, notwithstanding the submission of any such information to the Trustee.
9. To the extent the Claimant has disclosed to the Trustee documents containing accounting and/or legal advice, the Claimant does not waive any potential privileges applicable thereto.

10. The Claimant reserves all rights with respect to submitting information to the Internal Revenue Service regarding gains, losses, and/or theft of assets.
11. The Claim Form and supporting documents contain confidential information. The Claimant submits this information to the Trustee subject to the condition that this information will not to be disclosed to any third parties, other than under seal to the Court, absent the Claimant's express consent or Court order.
12. During the lifetime of the account, the Claimant may have contributed and/or withdrawn sums of money. The Claimant's final account balance set forth in Exhibit A takes into account any such contributions and/or withdrawals. Claimant continues to search for additional documents relating to such contributions or withdrawals and reserves the right to supplement this submission if additional documents are found.
13. The Claimant submits herewith documents in support of the Claimant's claim. The Claimant reserves any arguments that such documents are not relevant to the Trustee's inquiry. The Claimant further reserves the right to supplement this submission, including the submission of additional documents if deemed necessary. Below is a list of the documents submitted herewith:

**2008**

- BMIS account statements for time period ending 09/30/08.
- BMIS account statements for time period ending 10/31/08.
- BMIS account statements for time period ending 11/30/08.

**DOCUMENTS SUBMITTED  
WITH THE CLAIM OF**

**DIANE SLOVES AS TSTEE  
UNDER REV TST  
AGREEMENT DTD 10/13/00  
FOR THE BENEFIT OF  
D SLOVES**

**(Account No. 1SO274)**



Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

\*\*DUPLICATE\*\* FOR ACCOUNT DIANE SLOVES AS FTS ORDER 4061  
PRESTON FOX  
GEIB & FOX

106 S MILL STREET SUITE 202  
ASPEN CO 81611

PERIOD ENDING  
9/30/08

YOUR TAX PAYER IDENTIFICATION NUMBER  
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YOUR ACCOUNT NUMBER  
1-S0274-3-0

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/0				BALANCE FORWARD		37,714.81	
9/09				MAL-MART STORES INC. DIV 8/15/08 9/02/08	DIV		172.39
9/09				JOHNSON & JOHNSON DIV 8/26/08 9/09/08	DIV		402.50
9/10				UNITED PARCEL SVC INC CLASS B DIV 8/25/08 9/09/08	DIV		148.25
9/10				FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 09/10/08	DIV		42.51
9/10				CHEVRON CORP DIV 8/19/08 9/10/08	DIV		406.25
9/10				UNITED TECHNOLOGIES CORP DIV 8/15/08 9/10/08	DIV		95.80
9/10	40,099		83823	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1		40,099.00
9/11	37,491		88982	FIDELITY SPARTAN U S TREASURY MONEY MARKET MICROSOFT CORP	1	37,491.00	
9/11	12,725		94058	DIV 8/21/08 9/11/08 FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	12,725.00	
9/16				MCDONALDS CORP DIV 9/02/08 9/16/08	DIV		131.28
				CONTINUED ON PAGE 2			

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

\*\*DUPLICATE\*\* FOR ACCOUNT DIANE SLOVES AS FTS (212) 888-4061  
PRESTON FOX  
GEIB & FOX

106 S MILL STREET SUITE 202  
CO 81611  
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DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/17		1,225	10054	DRACLE CORPORATION	19.310		23,605.05
9/17		275	11249	APPLE INC	150.770		41,450.95
9/17		500	14352	PEPSICO INC	72.460		36,210.00
9/17		475	15547	ABBOTT LABORATORIES	58.960		27,981.00
9/17		2,100	18650	PFIZER INC	18.360		38,472.00
9/17		825	19820	AMERICAN INTL GROUP INC	15.290		12,589.25
9/17		950	22948	PROCTER & GAMBLE CO	72.780		69,103.00
9/17		350	24098	AMGEN INC	63.320		22,148.00
9/17		650	27246	PHILLIP MORRIS INTERNATIONAL	54.170		35,184.50
9/17		1,475	28392	BANK OF AMERICA	33.040		48,678.00
9/17		500	31544	QUALCOMM INC	48.390		24,175.00
9/17		1,725	32690	CITI GROUP INC	18.220		31,360.50
9/17		375	35842	SCHLUMBERGER LTD	87.210		32,688.75
9/17		475	36988	CONOCOPHILIPS	72.510		34,425.25
9/17		1,900	40140	AT&T INC	31.370		59,525.00
9/17		1,850	41286	CISCO SYSTEMS INC	23.050		42,568.00
9/17		325	44428	UNITED PARCEL SVC INC	67.860		22,041.00
9/17		625	45584	CLASS B			51,981.25
9/17		300	48677	CHEVRON CORP	83.210		19,104.00
9/17		3,125	49882	UNITED TECHNOLOGIES CORP	63.720		85,625.00
9/17		900	52957	GENERAL ELECTRIC CO	27.440		31,077.00
9/17		75	54180	VERIZON COMMUNICATIONS	34.570		32,412.00
9/17		1,075	57255	GOOGLE	432.200		35,851.25
9/17		125	58478	WELLS FARGO & CO NEW	33.390		19,332.50
9/17				GOLDMAN SACHS GROUP INC	154.700		
				CONTINUED ON PAGE 3			

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

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800 334-1343

\*\*DUPLICATE\*\* FOR ACCOUNT DIANE SLOVES AS FIDELITY SPARTAN  
PRESTON FOX  
GEIB & FOX

106 S MILL STREET SUITE 202  
ASPEN  
CO 81611

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
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PERIOD ENDING  
9/30/08

YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*5584

YOUR ACCOUNT NUMBER  
1-S0274-3-0

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/17		725	61553	WAL-MART STORES INC	62.470	45,261.95	
9/17		775	62776	HEWLETT PACKARD CO	46.880	36,301.00	
9/17		1,625	65851	EXXON MOBIL CORP	76.080	123,565.00	
9/17		425	67074	INTERNATIONAL BUSINESS MACHS	118.800	50,475.00	
9/17		1,800	71372	INTEL CORP	19.970	35,874.00	
9/17		875	75670	JOHNSON & JOHNSON	71.150	62,221.25	
9/17		1,100	79967	J.P. MORGAN CHASE & CO	40.930	44,970.00	
9/17		625	84265	COCA COLA CO	53.790	33,593.95	
9/17		350	88563	MCDONALDS CORP	64.430	22,535.50	
9/17		675	92861	MERCK & CO	34.160	23,031.00	
9/17		2,475	97159	MICROSOFT CORP	27.420	67,765.50	
9/17	1,475,000		84369	U S TREASURY BILL DUE 2/12/2009	99.511	1,467,787.25	
9/17			88667	FIDELITY SPARTAN 2/12/2009	1	3,100.00	
9/19	3,100			U S TREASURY MONEY MARKET AMERICAN INTL GROUP INC	DIV		
9/26				DIV 9/05/08 9/19/08	DIV		181.59
9/26				BANK OF AMERICA DIV 9/05/08 9/26/08	DIV		944.00
9/30				QUALCOMM INC DIV 8/29/08 9/26/08	DIV		80.00
9/30				PEPSICO INC DIV 9/05/08 9/30/08	DIV		212.50
9/30	3,044		96767	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	3,044.00	
CONTINUED ON PAGE 4							



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\*\*DUPLICATE\*\* FOR ACCOUNT DIANE SLOVES AS FEE UNDER 61

PRESTON FOX  
 GEIB & FOX

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 CO 81611  
 ASPEN

PERIOD ENDING  
**9/30/08**

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YOUR ACCOUNT NUMBER  
**1-S0274-3-0**

YOUR TAX PAYER IDENTIFICATION NUMBER  
 88-55584

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT DEBITED TO YOUR ACCOUNT
				YEAR-TO-DATE SUMMARY			
				DIVIDENDS		10,528.19	
				GROSS PROCEEDS FROM SALES		8,283,461.33	

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**\*\*DUPLICATE\*\* FOR ACCOUNT DIANE SLOVES AS FSTEEN UNDER 4061**

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YOUR ACCOUNT NUMBER  
**1-S0274-4-0**

YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*5584

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/3	25		73904	BALANCE FORWARD	1.050		37,715.00
9/11		25	78429	S & P 100 INDEX SEPTEMBER 600 CALL	5.100	2,650.00	12,725.00
9/17		25	1458	SEPTEMBER 600 CALL S & P 100 INDEX	20		49,975.00
9/17	25		5756	SEPTEMBER 590 PUT S & P 100 INDEX	.900	2,275.00	
				SEPTEMBER 600 CALL NEW BALANCE			95,490.00

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\*\*DUPLICATE\*\* FOR ACCOUNT DIANE SLOVES AS TRUSTEE UNDER

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YOUR ACCOUNT NUMBER  
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**10/31/08**

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YOUR TAX PAYER IDENTIFICATION NUMBER  
**\*\*\*\*\*5584**

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
10/01				BALANCE FORWARD		95,489.26	
10/01				COCA COLA CO DIV 9/15/08 10/01/08	DIV		237.50
10/01				HEWLETT PACKARD CO DIV 9/10/08 10/01/08	DIV		62.00
10/03				MERCK & CO DIV 9/05/08 10/01/08	DIV		256.50
10/10				SCHLUMBERGER LTD DIV 9/03/08 10/03/08	DIV		78.00
10/31	986		9268	PHILIP MORRIS INTERNATIONAL DIV 9/15/08 10/10/08	DIV		355.00
				FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	1	986.00	
				NEW BALANCE		95,489.51	
	57,346			SECURITY POSITIONS FIDELITY SPARTAN	MKT PRICE 1		
	1,475,000			U.S. TREASURY MONEY MARKET U.S. TREASURY BILL DUE 2/12/2009	99.879		
				2/12/2009			
				MARKET VALUE OF SECURITIES LONG 1,530,561.25			
				SHORT			

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\*\*\*DUPLICATE\*\* FOR ACCOUNT DIANE SLOVES AS 1STEE UNDER FAX (212) 838-4061

106 S MILL STREET SUITE 202  
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DINING CENTER

YOUR ACCOUNT NUMBER  
1-S0274-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*5584

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				YEAR-TO-DATE SUMMARY			
				DIVIDENDS GROSS PROCEEDS FROM SALES			11,514.96 8,283,460.33



DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				BALANCE FORWARD				95,490.00
				NEW BALANCE				95,490.00



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INVESTMENT SECURITIES LLC  
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\*\*DUPLICATE\*\* FOR ACCOUNT  
PRESTON FOX  
GEIB & FOX

106 S MILL STREET SUITE 202  
ASPEN CO 81611

New York, NY 10022  
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DIANE SLOVES AS ~~STEVE UNDER~~

12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

PERIOD ENDING  
11/30/08

YOUR ACCOUNT NUMBER  
1-50274-3-0

YOUR TAXPAYER IDENTIFICATION NUMBER  
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DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	1,386			BALANCE FORWARD		95,489.51	
11/12	990		385	WELLS FARGO & CO NEW	29.800	41,357.80	
11/12	858		387	HEWLETT PACKARD CO	34.900	34,590.00	
11/12	561		4711	WAL-MART STORES INC	55.830	47,936.14	
11/12	2,079		5213	INTERNATIONAL BUSINESS MACHS	87.270	48,980.47	
11/12	2,277		9037	EXXON MOBIL CORP	72.880	151,600.52	
11/12	1,089		9539	INTEL CORP	14.510	33,130.27	
11/12	1,485		13865	JOHNSON & JOHNSON	59.580	64,925.62	
11/12	792		18190	J.P. MORGAN CHASE & CO	38.530	57,276.05	
11/12	462		22516	CDIA COLM CO	44.660	35,401.72	
11/12	858		26842	MCDONALDS CORP	55.370	25,598.94	
11/12	3,135		31168	MERCK & CO	28.550	24,529.90	
11/12	1,584		35494	MICROSOFT CORP	21.810	68,499.35	
11/12	627		39820	ORACLE CORPORATION	17.300	27,456.20	
11/12	363		52798	PEPSICO INC	56.410	35,394.07	
11/12	2,673		53300	APPLE INC	100.780	36,597.14	
11/12	627		57124	PFIZER INC	16.940	45,386.62	
11/12	429		57626	ABBOTT LABORATORIES	54.610	34,265.47	
11/12	825		61450	PROCTER & GAMBLE CO	64.080	76,174.04	
11/12	1,980		61952	AMGEN INC	59.160	25,396.64	
11/12	660		65776	PHILLIP MORRIS INTERNATIONAL	43.600	36,003.00	
11/12	2,145		66278	BANK OF AMERICA	21.590	42,827.20	
11/12			70102	QUALCOMM INC	33.770	22,314.20	
11/12			70604	CITI GROUP INC	12.510	26,918.95	
				CONTINUED ON PAGE 2			



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\*\*DUPLICATE\*\* FOR ACCOUNT DIANE SLOVES AS TRUSTEE UNDER

PRESTON FOX  
GEIB & FOX

106 S MILL STREET SUITE 202  
ASPEN CO 81611

Mayfair, London W1J 8DT  
Tel 020 7493 6222

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11/30/08

YOUR TAXPAYER IDENTIFICATION NUMBER  
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1-S0274-3-0

08-0170 cgm Doc 2409-2 Filed 06/11/10 Entered 06/11/10 15:52:05 Exhibit B -

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DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	495		74428	SCHLUMBERGER LTD	49.480	24,511.60	
11/12	1,188		74930	COMCAST CORP	16.510	19,560.88	
11/12	2,343		78754	AT&T INC	27	63,354.00	
11/12	594		79256	CONOCOPHILIPS	52.510	31,213.94	
11/12	396		83080	UNITED PARCEL SVC INC	52.040	20,622.84	
11/12	2,409		83582	CLASS B	16.730	40,398.57	
11/12	693		87406	CISCO SYSTEMS INC	29.530	20,491.29	
11/12	825		87908	U S BANCORP	73.430	60,612.75	
11/12	396		91732	CHEVRON CORP	53.160	21,066.36	
11/12	4,191		92234	UNITED TECHNOLOGIES CORP	19.630	82,436.33	
11/12	1,122		96058	GENERAL ELECTRIC CO	30.410	34,164.02	
11/12	99		96560	VERIZON COMMUNICATIONS	337.400	33,405.60	
11/12		1,475,000	22994	GOOGLE	99.936		1,474,856.1
				U S TREASURY BILL			
				DUE 2/12/2009			
11/12				FIDELITY SPARTAN	DIV		
				U S TREASURY MONEY MARKET			
				DIV 11/12/08			
11/12		57,346	18095	FIDELITY SPARTAN	1		57,346.00
				U S TREASURY MONEY MARKET			
11/12	30,298		27463	FIDELITY SPARTAN	1		30,298.00
				U S TREASURY MONEY MARKET			
11/19				FIDELITY SPARTAN	DIV		
				U S TREASURY MONEY MARKET			
				DIV 11/19/08			
				CONTINUED ON PAGE 3			



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DIANE SLOVES AS INVESTMENT UNDER

14 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

PERIOD ENDING 11/30/08  
YOUR ACCOUNT NUMBER 1-50274-3-0  
YOUR TAX PAYER IDENTIFICATION NUMBER \*\*\*\*\*5584  
PAGE 3

106 S MILL STREET SUITE 202  
ASPEN CO 81611

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19		30,298	52944	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1		30,298.00
11/19	125,080		57478	U S TREASURY BULL DUE 03/26/2009	99.976	124,907.50	
11/19	4,263		61982	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	4,263.00	
				NEW BALANCE		187,691.96	
	2,343 627 429 363 1,980 825 2,409 2,145 792 1,188			SECURITY POSITIONS AT&T INC ABBOTT LABORATORIES AMGEN INC APPLE INC BANK OF AMERICA CHEVRON CORP CISCO SYSTEMS INC CITI GROUP INC COCA COLA CO COMCAST CORP CL A	MKT PRICE 28.560 52.390 55.540 92.670 16.250 79.010 16.540 8.290 46.870 17.340		
	594 2,079 4,191			CONOCOPHILIPS EXXON MOBIL CORP GENERAL ELECTRIC CO	52.520 80.150 17.170		
				CONTINUED ON PAGE 4			

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

**MADP**

**\*\*DUPLICATE\*\*** FOR ACCOUNT DIANE SLOVES AS **15% FEE UNDER**  
PRESTON FOX  
GEIB & FOX

106 S MILL STREET SUITE 202  
ASPEN CO 81611

New York, NY 10022  
(212) 230-2424  
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PERIOD ENDING  
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YOUR ACCOUNT NUMBER  
1-50274-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*5584

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	99			GOOGLE	292.960		
	990			HEWLETT PACKARD CO	35.280		
	2,277			INTEL CORP	13.800		
	2,561			INTERNATIONAL BUSINESS MACHS	81.600		
	1,485			J.P. MORGAN CHASE & CO	31.660		
	1,089			JOHNSON & JOHNSON	58.580		
	462			MCDONALDS CORP	58.750		
	858			MERCK & CO	26.720		
	3,135			MICROSOFT CORP	20.220		
	1,584			ORACLE CORPORATION	16.090		
	627			PEPSICO INC	56.700		
	2,673			PFIZER INC	16.430		
	825			PHILLIP MORRIS INTERNATIONAL	42.160		
	1,188			PROCTER & GAMBLE CO	64.350		
	660			QUALCOMM INC	33.570		
	493			SCHLUMBERGER LTD	50.740		
	4,263			FIDELITY SPARTAN	1		
	693			U S TREASURY MONEY MARKET	26.980		
	396			U S BANCORP	57.600		
	125,000			UNITED PARCEL SVC INC	99.971		
				CLASS B			
				U S TREASURY BILL			
				DUE 03/26/2009			
				3/26/2009			
	396			UNITED TECHNOLOGIES CORP	48.530		
				CONTINUED ON PAGE 5			

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PRESTON FOX  
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106 S MILL STREET SUITE 202  
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PERIOD ENDING 11/30/08	PAGE 5
YOUR ACCOUNT NUMBER 1-S0274-3-0	YOUR TAX PAYER IDENTIFICATION NUMBER *****5584

DATE	BOUGHT RECEIVED	SOLD / DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	1,122 858 1,386			VERIZON COMMUNICATIONS WAL-MART STORES INC WELLS FARGO & CO NEW	32.650 55.880 28.890		
				MARKET VALUE OF SECURITIES LONG 1,583,150.32			

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**\*\*DUPLICATE\*\*** FOR ACCOUNT **DIANE SLOVES AS FSTEE UNDER**  
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 GEIB & FOX

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PERIOD ENDING **11/30/08**

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YOUR ACCOUNT NUMBER  
**1-S0274-3-0**

YOUR TAX PAYER IDENTIFICATION NUMBER  
**\*\*\*\*\*5584**

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				YEAR-TO-DATE SUMMARY			
				DIVIDENDS			11,589.48
				GROSS PROCEEDS FROM SALES			9,757,517.33

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**MADF**

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PERIOD ENDING  
11/30/08

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YOUR ACCOUNT NUMBER  
1-50274-4-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*5584

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12				BALANCE FORWARD			95,498.01
11/12	33		44146	S & P 100 INDEX NOVEMBER 450 CALL	15.800		52,107.01
11/12	33		48472	S & P 100 INDEX NOVEMBER 450 PUT	17.800	58,773.00	
11/19			34576	S & P 100 INDEX DECEMBER 430 CALL	26		85,767.00
11/19	33		38901	S & P 100 INDEX DECEMBER 420 PUT	30	99,033.00	
11/19	33		43226	S & P 100 INDEX NOVEMBER 460 CALL	3	9,933.00	
11/19				NEW BALANCE			122,861.00
11/19	33		47551	S & P 100 INDEX NOVEMBER 450 PUT	37		187,596.00
				SECURITY POSITIONS			
	33			S & P 100 INDEX DECEMBER 430 CALL	MKT PRICE 23.300		
				S & P 100 INDEX DECEMBER 420 PUT	16.500		
				MARKET VALUE OF SECURITIES			
				LONG			54,450.00
				SHORT			76,890.00-